



### ANNEX 3

#### Self-certification statement related to the application for the registration in the list of economic operators for the provision of goods and services of the Executive Secretariat of the Central European Initiative

The undersigned \_\_\_\_\_

born on \_\_\_\_\_ in \_\_\_\_\_

as *(function)* \_\_\_\_\_

authorized to act on behalf of the company/firm *(business name)*

\_\_\_\_\_

with the registered head office located in *(street, postal code, town)*

\_\_\_\_\_

\_\_\_\_\_

fully aware of the criminal liability foreseen for false and mendacious statements according to article 76 of the Italian Presidential Decree 445/2000

#### HEREBY STATES

1) that the data of the company/firm for which the application for registration in the list of economic operators of the Central European Initiative is submitted are the following:

- corporate name \_\_\_\_\_
- registered head office \_\_\_\_\_
- fiscal code \_\_\_\_\_
- VAT number \_\_\_\_\_
- phone number \_\_\_\_\_
- fax number \_\_\_\_\_
- e-mail address \_\_\_\_\_

2) that the company/firm is signed in the register of the Chamber of Commerce, Industry, Agriculture and Crafts or at an equivalent institution of \_\_\_\_\_

\_\_\_\_\_ since \_\_\_\_\_

under the number \_\_\_\_\_ for the following activities \_\_\_\_\_

\_\_\_\_\_

3) *(for activities requiring authorisations as stated in the former article 39, clause 4 of the Italian Legislative Decree 163/2006 and its amendments and integrations)* that the company/firm possesses valid licences/grants/authorisations according to the regulations in force for the legal provision of the activities for which the signatory is applying;

4) that the personal data and the address of the company's holder *(for sole proprietorships)*, associates *(for general partnerships)*, general partners *(for limited partnerships)*, manager entitled to represent the company or partner with the majority of the company's shares in case of companies with less than



four owners (*for other types of companies*), as well as of the special director and of the technical director (*if present*) are the following (*please indicate name and surname, date and place of birth, address, position and its ending date*):

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5) that the applicant is not facing any pending proceedings for the appliance of one of the prevention measures according to article 3 of the Italian Law 1423/1956 or for unintentional errors foreseen by article 10 of the Italian Law 575/1965

6)

that no final (*res judicata*) judgement was pronounced against the applicant and that no irrevocable criminal decree of conviction or sentence for the determination of penalty has been issued against the applicant, according to article 444 of the Italian Code of Criminal Procedure, for serious criminal offence against the State or Communities that affect the professional morality;

*or*

that the following final (*res judicata*) judgement/sentence was pronounced against the applicant or the following irrevocable criminal decree of conviction or sentence for the determination of penalty was issued against the applicant (*please indicate the type of offence and the article of reference, year, applied penalty, any plea bargaining, discharge or other issues*):

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that no final (*res judicata*) judgement was pronounced and that no irrevocable criminal decree of conviction or a sentence for the determination of penalty has been issued against individuals no longer holding the position of the company holder, associate, general partner, director entitled to represent the company, technical director in the past three years, according to article 444 of the Italian Code of Criminal Procedure, for serious criminal offence against the State or Communities that affect the professional morality;

*or*

that the following final (*res judicata*) judgement/sentence was pronounced or an irrevocable criminal decree of conviction or sentence for the determination of penalty was issued in the past three years towards individuals no longer holding the position of the company holder, associate, general partner, director entitled to represent the company, technical director (*please indicate the type of offence and the article of reference, year, applied penalty, any plea bargaining, discharge or other issues*)

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7) that the company/firm:

a) is not facing bankruptcy, compulsory liquidation, arrangement with creditors, and that there are no ongoing proceedings that could lead to one of these situations (in relation to article 38, clause 1, letter A of the Italian Legislative Decree nr. 163/2006 and its amendments and integrations);

b) did not commit any serious duly identified offences according to the safety regulations and to any other obligation deriving from business relationships, deductible from the data owned by the Italian Public Procurement Observatory (in relation to article 38, comma 1, letter E of the Italian Legislative Decree nr. 163/2006 and its amendments and integrations);



- c) did not commit any serious negligence or bad faith offence or serious mistakes in carrying out its own activities (in relation to article 38, comma 1, letter F of the Italian Legislative Decree nr. 163/2006 and its amendments and integrations);
  - d) did not commit any violations of the obligations concerning taxes and duties deriving from the Italian national legislation or from the legislation of the country in which the company/firm is located (in relation to article 38, clause 1, letter G of the Italian Legislative Decree nr. 163/2006 and its amendments and integrations);
  - e) did not commit serious duly identified offences according to the legislation regulating national insurance and assistance contributions, as foreseen by the Italian national law or by the legislation of the state in which the company/firm is located (in relation to article 38, clause 1, letter I of the Italian Legislative Decree nr. 163/2006 and its amendments and integrations);
  - f) complies with the legislation regulating the right to gainful employment of disabled persons and that his compliance can be certified by the competent authority of (*town*) \_\_\_\_\_;
- 8) that the company/firm:
- a) did not receive disqualifying sanctions provided for by article 9, clause 2, letter c) of the Italian Legislative Decree 231/2001 or any other sanction that would imply a ban on signing up contracts with public administration institutions;
  - b) is not inscribed in the records of the Italian Public Procurement Observatory, provided for by article 7, clause 10, of the Italian Legislative Decree 163/2006, for having provided false documents or mendacious statements concerning the requirements to participate in public tenders or for providing subcontracting (in relation to article 38, clause 1, letter H, of the Italian Legislative Decree 163/2006 and its amendments and integrations) or for the issuing of Italian obligatory certificate for participation in public tenders (in relation to article 28, clause 1, letter M-bis, of the Italian Legislative Decree 163/2006 and its amendments and integrations);
- 9) that the holder, associate, general partner, manager entitled to represent the company, technical director of the company/firm is not subject to the provisions of article 38, clause 1, letter M-ter of the Italian Legislative Decree 163/2006 and its amendments and integrations (omission of denouncing of having being a victim of bribery or aggravated extortion);
- 10) that the company/firm (in relation to article 28, clause 1, letter M-quarter of the Italian Legislative Decree 163/2006 and its amendments and integrations):
- as provided for by article 2359 of the Italian Civil Code, is not controlled by any competitors, nor substantially connected to any competitors through circumstances such as the sharing of the holder, associate, manager or attorney entitled to represent the company;
  - or
  - is controlled by or substantially connected to the following companies/firms:  
\_\_\_\_\_  
\_\_\_\_\_
- but, for the purposes of the present application, has acted autonomously, as proved by the documents attached hereto;
- 11) that the company/firm:
- a) complies with the regulation in force in relation to the duties for safety at work place, with particular reference to the Italian Legislative Decree 626/1994 and its amendments and integrations;



b) does not avail itself of individual plans for recovery of hidden profits according to article 1bis, clause 14 of the Italian Law nr. 383/2001, amended by the Italian Law 266/2002, or has availed itself of the same individual plans but has already concluded the recovery period;

12) that the global turnover produced in the last three financial years, approved before the date of the present application amounts to a total of \_\_\_\_\_ EUR.

Moreover, pursuant to the legislation in force on the personal data protection, the undersigned states to be aware that the collected personal data will be processed, including through IT tools, exclusively with regard to the purposes for which the present statement is made.

Finally, the undersigned binds himself to inform promptly the Central European Initiative about any variation of the present statement.

Place and date \_\_\_\_\_

\_\_\_\_\_  
(Signature)